

UNITED STATES DISTRICT COURT
DISTRICT OF CONNECTICUT

GERAUD DARNIS, et al.,

Plaintiffs,

v.

RAYTHEON TECHNOLOGIES
CORPORATION, et al.,

Defendants.

Civil Action No.: 3:20-cv-01171 (SRU)

STIPULATION OF PARTIAL DISMISSAL

The parties, by their undersigned counsel, hereby **stipulate and agree** as follows, subject to approval by the Court:

1. The Third Cause of Action (Breach of Fiduciary Duty Concerning the UTC LTIP and the UTC 2018 LTIP) and Sixth Cause of Action (Breach of Fiduciary Duty) asserted in the Class Action Complaint (Dkt. #1) (the “Complaint”) are hereby dismissed with prejudice;

2. All claims asserted in the Complaint against the United Technologies Corporation Long-Term Incentive Plan; the United Technologies Corporation 2018 Long-Term Incentive Compensation Plan; the Carrier Global Corporation 2020 Long-Term Incentive Plan; and the Otis Worldwide Corporation 2020 Long-Term Incentive Plan are hereby dismissed with prejudice; and

3. As a result of the foregoing, all claims asserted in the Complaint against the following defendants are dismissed with prejudice: Jeffrey H. Black; Kathy Hopinkah

Hannan¹; Shailesh G. Jejurikar; Judith F. Marks; Margaret M. Preston; Shelley Stewart Jr.; John H. Walker; David Gitlin; John J. Greisch; Charles M. Holley, Jr.; Michael M. McNamara; Michael A. Todman; Virginia M. Wilson.

The parties further **stipulate and agree** that the foregoing dismissals shall be without costs to any party and without waiver or prejudice to any defense of any defendant, including defenses as to personal jurisdiction and venue.

Dated: March 19, 2021

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United Technologies Corporation Savings
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So ordered:

United States District Judge

March __, 2021

CERTIFICATION

I hereby certify that on March 19, 2021, a copy of the foregoing was filed electronically and served by mail on anyone unable to accept electronic filing. Notice of this filing will be sent by e-mail to all parties by operation of the Court's electronic filing system or by mail to anyone unable to accept electronic filing. Parties may access this filing through the Court's system.

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